

### STRATEGIC GUIDANCE FOR FAMILY AND BUSINESS

CRD# 283226

Form ADV Part 2B - Brochure Supplement for Jason A. Stuber

9750 Ormsby Station Rd., Suite 102 Louisville, Kentucky 40223

502-805-3000

March 11, 2021

This brochure supplement provides information about Jason A. Stuber, and supplements the Cardinal Strategic Wealth Guidance, LLC, d/b/a Saling Wealth Advisors ("Saling Wealth Advisors") brochure. You should have received a copy of that brochure. Please contact Saling Wealth Advisors at (502) 805-3000 if you did not receive Saling Wealth Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Jason A. Stuber is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

#### Item 2 - Educational Background & Business Experience

Name: Jason A. Stuber

Year of Birth: 1977

Education: Bachelor of Business Administration, University of Kentucky, 1999

**Business Background:** 

Chief Investment Officer, Saling Wealth Advisors, April 2016 – Present
Financial Consultant, J.J.B. Hilliard, W.L. Lyons, LLC, November 2012 – April 2016
Associate, J.P. Morgan Private Bank, August 2010 – November 2012
Due Diligence Manager, J.J.B. Hilliard, W.L. Lyons, LLC, October 2008 – August 2010
Portfolio Manager, Hilliard Lyons Trust Company, May 2005 – October 2008
Marketing Coordinator, J.J.B. Hilliard, W.L. Lyons, LLC, November 2003 – May 2005
Advisor Consultant, Futures Personal Investor Services, INC, December 2001-November 2003
Internal Marketing Coordinator, J.J.B. Hilliard, W.L. Lyons, LLC, August 2000 – December 2001

#### Professional Designation(s):

Chartered Financial Analyst (CFA), September, 2006

Designation: Certified Financial Analyst (CFA®). Issuing Organization: CFA Institute. Prerequisites/Experience Required: Must have a bachelor's degree (or higher) from an accredited college or university, three years of professional work experience in investment decision making, and a passing score for the CFA Level I Exam or the self-administered Standards of Practice Examination. CFA Charterholders are also obligated to adhere to the Code of Ethics and Standards established by the CFA Institute.

#### **Item 3 - Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Mr. Stuber.

#### **Item 4 - Other Business Activities**

Mr. Stuber is a licensed insurance agent affiliated with Raymond James Insurance Group, Inc. He is entitled to receive a commission or other remuneration on the sale of insurance and other products. In order to protect client interests, Saling Wealth Advisors' policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Mr. Stuber and also pay an advisory fee to Saling Wealth Advisors on assets held in the same account. These fees are exclusive of each other. Clients are not obligated, contractually or otherwise, to use the services of Raymond James Insurance Group, Inc. Mr. Stuber spends no more than four hours per week selling insurance.

#### **Item 5 - Additional Compensation**

Mr. Stuber does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

## Item 6 - Supervision

Mr. Stuber will be supervised by Emily Saling, who can be reached at 502-805-3000.

# Item 7 – Requirements for State-Registered Advisers

No additional disclosures required.

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